



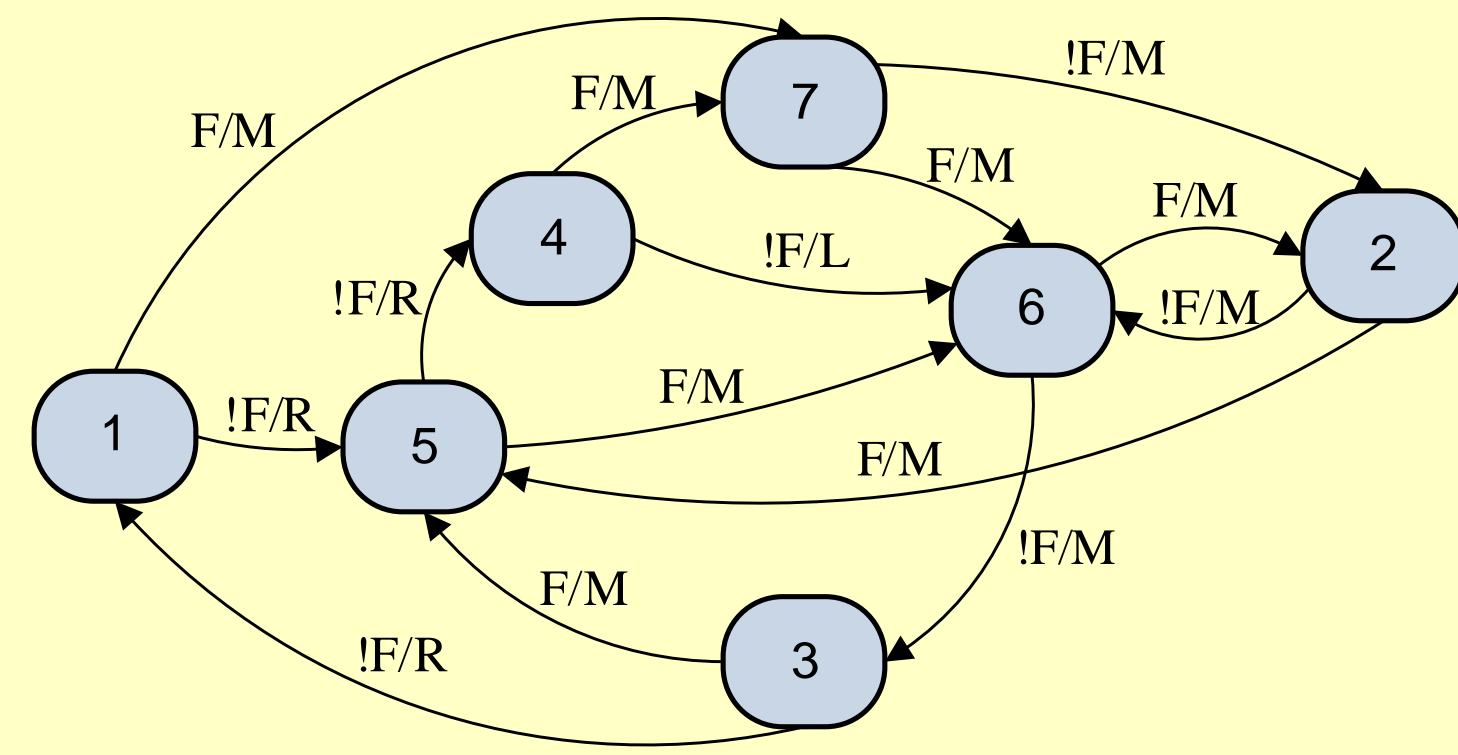
# Learning Finite-State Machines with Ant Colony Optimization

Daniil Chivilikhin and Vladimir Ulyantsev  
 St. Petersburg National Research University of Information Technologies,  
 Mechanics and Optics  
 Computer Technologies Department

## Problem Definition

A finite-state machine (FSM) is a sextuple  $\langle S, \Sigma, \Delta, \delta, \lambda, s_0 \rangle$ , where:

- $S$  – set of states
- $\Sigma$  – set of input events
- $\Delta$  – set of output actions
- $\delta : S \times \Sigma \rightarrow S$  – transition function
- $\lambda : S \times \Sigma \rightarrow \Delta$  – actions function
- $s_0 \in S$  – initial state



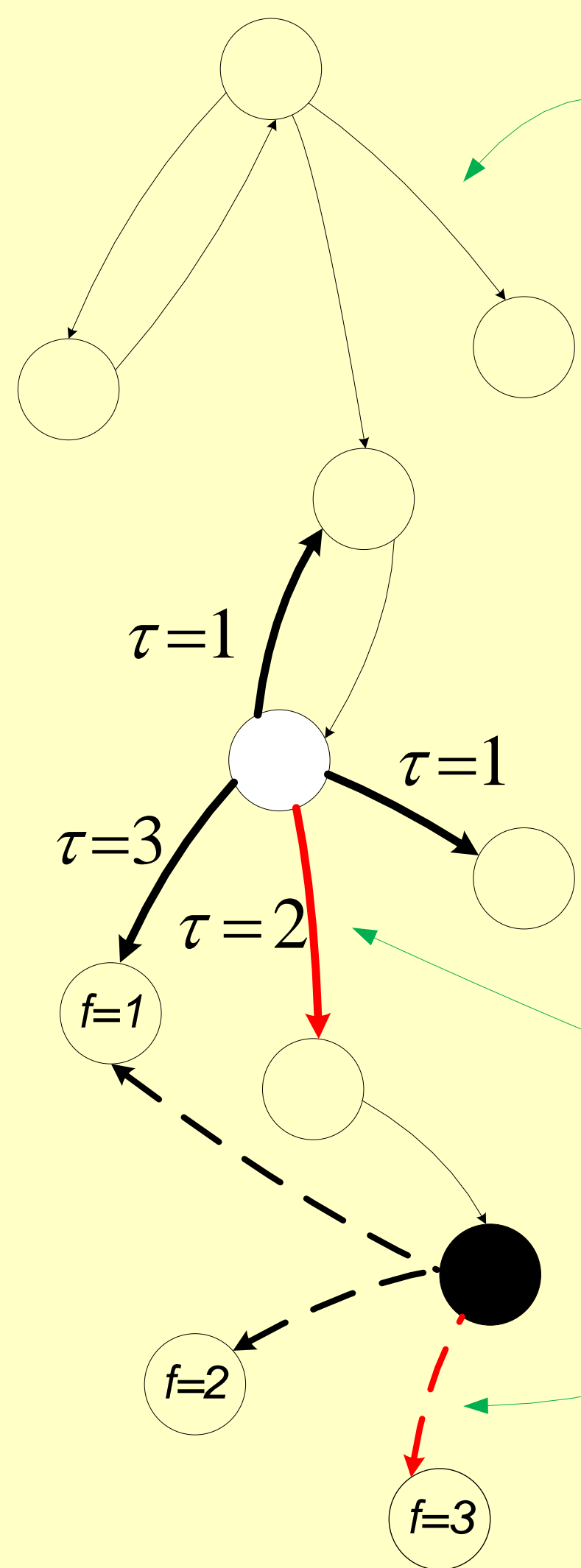
- The input data consists of the number of states  $N$ , a set of events  $\Sigma$ , and set of actions  $\Delta$  of the target FSM. Input data also specifies the **fitness function** (FF)  $f$  defined for any FSM and a boundary value of this function  $f_0$

- The **goal** is to build an FSM with a value of  $f \geq f_0$

## Proposed algorithm

### Search space representation

- Directed graph  $G$
- Nodes – FSMs
- Edges – mutations of FSMs:
  - Change transition end state
  - Change transition action



### Algorithm

- graph  $G = \{\text{generate random FSM}\}$
- While (True)
  - Launch colony of ants on  $G$
  - Update pheromone values
  - Check stop conditions
- Each ant has a limited number of steps

### Next node selection

- $P = (1 - P_0)$  – select next node with roulette method
- $P = P_0$  – generate mutated FSMs, select best

### Pheromone update

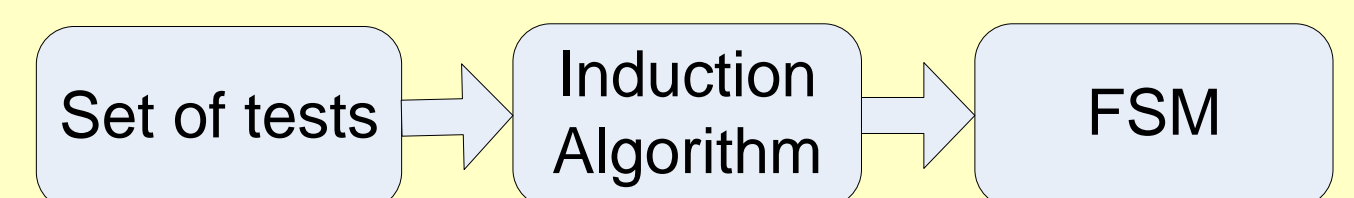
- Path quality – maximum fitness value of nodes
- Ants deposit pheromone along sub-path from start to best node
- Pheromone evaporation

## Experiments: Inducing FSMs from tests

### Input data:

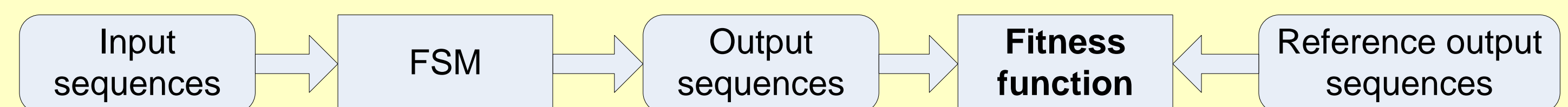
- number  $N$  and sets  $\Sigma$  and  $\Delta$
- set of test examples  $T$

Each test example consists of an input sequence of events  $I_j$  and the corresponding reference output sequence of actions  $O_j$



**Goal:** build an FSM which complies with all tests.

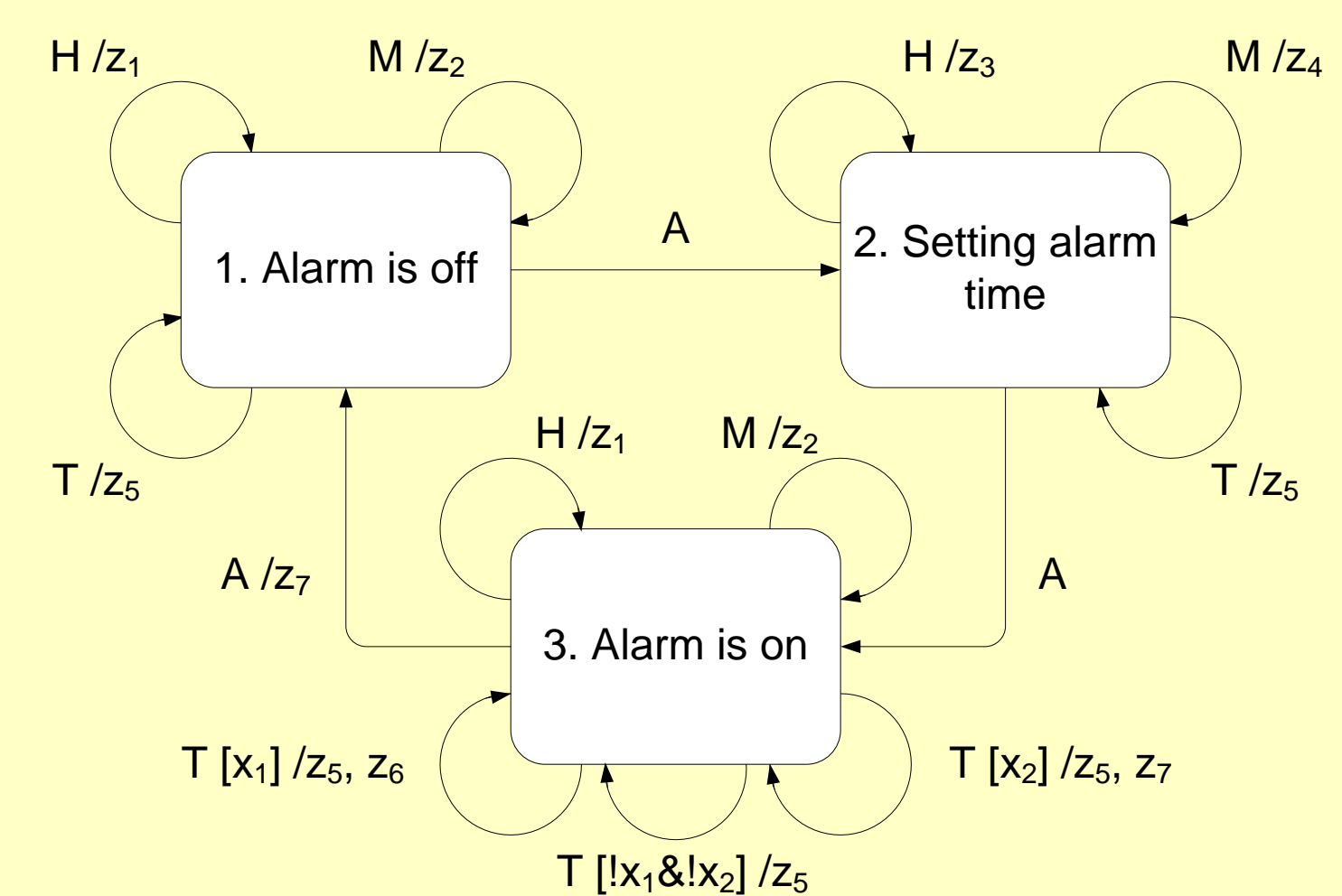
$$f' = \frac{1}{|T|} \sum_{j=1}^{|T|} \left( 1 - \frac{ED(O_j, A_j)}{\max(\text{len}(O_j), \text{len}(A_j))} \right) \quad f = 100 \cdot f' + \frac{1}{100} \cdot (100 - n_{trans})$$



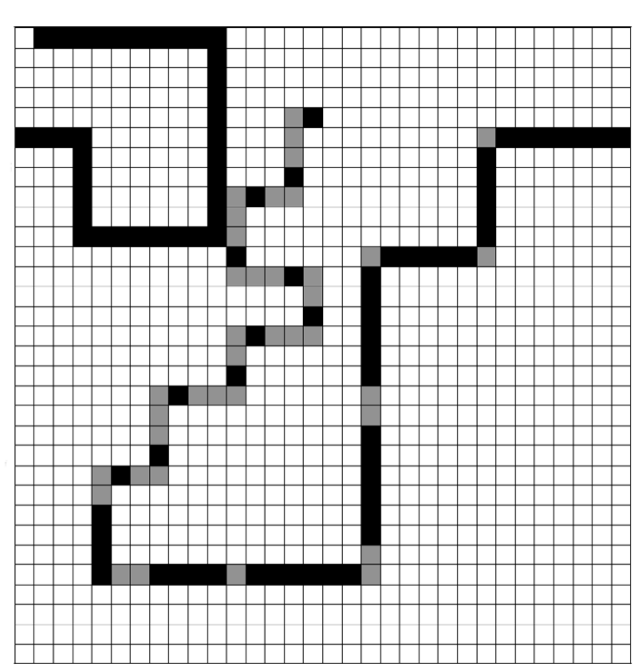
### Alarm clock control system induction

- input data: 38 tests for alarm, total length of input sequences 242, total length of answer sequences 195
- comparison with GA and GA+HC
- 1000 runs of each algorithm

Algorithm	Min	Max	Avg	Median
GA	32830	599022	117977	83787
GA+HC	26740	188509	53706	48106
ACO	2440	210971	53944	46293



## Experiments: Inducing FSMs for John Muir Food Trail Problem



- An "ant" is placed in a two-dimensional toroidal field  $32 \times 32$
- Some cells contain "food" (apples), a total of 89 pieces
- The ant can "see" if the next cell contains food (events F and !F)
- There are 200 steps, on each step the ant can turn left, turn right or move forward, possibly "eating" a piece of food (actions L, R, M)
- **Goal:** build an FSM controlling the ant so that it can eat all food in 200 steps

Classical FF:  $f_1(A) = n + \frac{200 - n_{steps}}{200}$

$n$  – number of eaten apples  
 $n_{steps}$  – elapsed steps  
 $N$  – number of states in FSM  
 $U$  – number of used states

Modified – variable number of states:  $f_2(A) = n + \frac{200 - n_{steps}}{200} + 0.1 \cdot (U - N)$

### First experiment

Setup:

- Using fitness function  $f_1$
- Searching among FSMs with seven states
- Comparing with GA

Results:

- GA result – 160 and 250 million FF calculations
- ACO result – 143 and 221 million FF calculations

### Second experiment

Setup:

- Using fitness function  $f_2$
- Searching among FSMs with 12 states
- 30 runs of ACO algorithm

Results:

- An average of 37 million FF calculations

## Publications

- Chivilikhin D., Ulyantsev V., Tsarev F. Test-Based Extended Finite-State Machines Induction with Evolutionary Algorithms and Ant Colony Optimization / Proceedings of the 2012 GECCO Conference Companion on Genetic and Evolutionary Computation. NY.: ACM. 2012, pp. 603 – 606.
- Ulyantsev V., Tsarev F. Extended Finite-State Machine Induction using SAT-Solver / Proceedings of the Tenth International Conference on Machine Learning and Applications, ICMLA 2011, Honolulu, HI, USA, 18-21 December 2011. IEEE Computer Society, 2011. Vol. 2. P. 346–349.

## Summary

- Introduced an ACO-based method of FSM induction
- ACO is either better than GA or works just as well
- ACO does not use problem-specific data, only FSM structure

chivilikhin.daniil@gmail.com  
 ulyantsev@rain.ifmo.ru